## STATEMENT OF CLAIMS STATUS

Claims 1-15 are pending in the application.

Claims 1-15 are subject to restriction and/or election requirement.

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PRELIIMINARY AMENDMENT
Filing Date: December 19, 2003
Date Mailed: December 22, 2004

TITLE: CAPSICUM BASED SEED COATING AND METHOD OF USE Serial No: 10/741,328

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**CLAIMS AMENDMENT** 

Claim 1 (original) A method for protecting crop seeds and grains between planting and

emergence, from destruction or compromise by insects, fungus, bacteria and other living organisms, the

method consisting of contacting the crop seeds and grains with an aqueous formulation containing only

water and capsicum as the active ingredient prior to planting the crop seeds and grains.

Claim 2 The method of claim 1 in which the step of contacting the crop seeds and grains includes

coating the crop seeds and grains with the aqueous formulation.

Claim 3 (currently amended) The method of claim + 2 in which the step of contacting

coating the crop seeds and grains includes soaking the crop seeds and grains in the aqueous formulation.

Claim 4 (currently amended) The method of claim + 2 in which the step of contacting

coating the crop seeds and grains includes spraying the crop seeds and grains with the aqueous

formulation.

Claim 5 (currently amended) The method of claim 1 2 in which the step of contacting

coating the crop seeds and grains includes forming the aqueous formulation into a thickened paste and

applying the paste as a coating to the seeds and grains.

Claim 6 The method of claim 5 in which a sprayer is used in the step of applying the paste as a

coating to the seeds and grains.

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Claim 7 (currently amended) The method of claim + 2 in which the step of contacting

coating the crop seeds and grains includes vaporizing the aqueous formulation prior to contacting the

crop seeds and grains therewith.

Claim 8 The method of claim 7 in which the vapor is formed by boiling the aqueous solution.

Claim 9 The method of claim 7 in which the vapor is formed by aerosolizing the aqueous

solution.

Claim 10 The method of claim 1, further comprising the step of drying the seeds and grains

following contact with the aqueous formulation.

Claim 11 The method of claim 10, in which step of drying the seeds and grains utilizes

circulating hot air.

Claim 12 The method of claim 10, in which step of drying the seeds and grains comprises

placing the seeds and grains into a drying chamber.

Claim 13 The method of claim 12, further comprising using a conveyor belt for placing the seeds

and grains into a drying chamber.

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TITLE: CAPSICUM BASED SEED COATING AND METHOD OF USE Serial No: 10/741,328 Attorney Docket No: NEU-108 Claim 14 A method for protecting germinating crop seeds and grains from destruction or

compromise by insects, fungus, bacteria and other living organisms, the method consisting of contacting

the crop seeds and grains with an aqueous formulation containing only water and capsicum as the active

ingredient during the time of emergence.

Claim 15 (currently amended) A pre-emergence method for protecting crop seeds and grains

from <u>pre-emergence</u> destruction or compromise by insects, fungus, bacteria and other living

organisms, the method consisting of contacting the crop seeds and grains with an aqueous formulation

containing only water and capsicum as the active ingredient prior to planting the crop seeds and grains.

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CONCLUSION

Applicant respectfully submits that for all the foregoing reasons, the claimed subject matter

describes patentable invention. Furthermore, Applicant submits that the specification is adequate and that

the claims are in a condition for allowance. No new matter has been entered.

Applicant hereby respectfully requests Examiner to enter these amendments, find them

descriptive of useful, novel and non-obvious subject matter, and authorize the issuance of a utility patent

for the truly meritorious, deserving invention disclosed and claimed herein.

Without further, Applicant does not intend to waive any claims, arguments or defenses that they

may have in response to any official or informal communication, paper, office action, or otherwise, and

expressly reserves the right to assert any traverse, additional grounds establishing specificity and clarity,

enablement, novelty, uniqueness, non-obviousness, or other patentability, etc.

Further, nothing herein shall be construed as establishing indirectly the basis for any prosecution

history, file wrapper estoppel, or similar in order to limit or bar any claim of infringement of the invention

described herein, either directly or under applicable doctrine of equivalents.

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Respectfully submitted,

Dated: December 22, 2004

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## **CERTIFICATE OF MAILING**

By:

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner For Patents And Trademarks, Virginia 22313-1450". Signed:

Date Mailed: December 22, 2004 .

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